

12/29/00

jc944 U.S. PTO

01-02-01

PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box → ☐Approved for use through 10/31/2002. OMB 0651-0032  
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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

|                        |  |
|------------------------|--|
| Attorney Docket No.    | 1662-32700 (P99-2903)                                |
| First Inventor         | Chris L. HENDRIKS                                    |
| Title                  | Method And System To Manage Internet User Navigation |
| Express Mail Label No. | EL776174442US  |

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages  ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets  ]
5. Oath or Declaration [ Total Pages  ]
  - a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))
  - b. ☐ (for continuation/divisional with Box 17 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information:

Examiner: .....

Group / Art Unit: .....

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

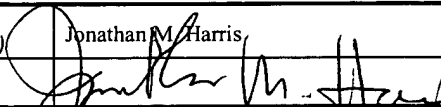
**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

23505

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

|         |                            |           |                |          |                |
|---------|----------------------------|-----------|----------------|----------|----------------|
| Name    | Conley, Rose & Tayon, P.C. |           |                |          |                |
|         | Jonathan M. Harris         |           |                |          |                |
| Address | P. O. Box 3267             |           |                |          |                |
| City    | Houston                    | State     | Texas          | Zip Code | 77253-3267     |
| Country | USA                        | Telephone | (713) 238-8000 | Fax      | (713) 238-8008 |

|                   |   |                                   |                        |
|-------------------|---|-----------------------------------|------------------------|
| Name (Print/Type) | Jonathan M. Harris  | Registration No. (Attorney/Agent) | 44,144                 |
| Signature         |  |                                   | Date December 29, 2000 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL

## for FY 2001

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT**

(\$)  
840.00

**Compleat if Known**

|                      |                       |
|----------------------|-----------------------|
| Application Number   | NOT YET ASSIGNED      |
| Filing Date          | CONCURRENTLY HEREWITH |
| First Named Inventor | Chris L. HENDRIKS     |
| Examiner Name        | UNKNOWN               |
| Group Art Unit       | UNKNOWN               |
| Attorney Docket No.  | 1662-32700 (P99-2903) |

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

03-2630

Deposit  
Account  
Name

Compaq Computer Corporation

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

|                                 | Large Entity   | Small Entity   |
|---------------------------------|--|--|
| 1. <b>Revenue Recognition</b>   | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer. |
| 2. <b>Expense Recognition</b>   | Expenses are recognized when the related asset is consumed or the liability is incurred.   | Expenses are recognized when the related asset is consumed or the liability is incurred.   |
| 3. <b>Asset Recognition</b>     | Assets are recognized when the company has control over the resource and it is probable that the resource will generate future economic benefits.  | Assets are recognized when the company has control over the resource and it is probable that the resource will generate future economic benefits.  |
| 4. <b>Liability Recognition</b> | Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.                      | Liabilities are recognized when the company has a present obligation to transfer an asset or provide a service in the future.                      |
| 5. <b>Equity Recognition</b>    | Equity is recognized as the residual interest in the assets of the company after deducting all liabilities.  | Equity is recognized as the residual interest in the assets of the company after deducting all liabilities.  |

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description        |
|----------|----------|----------|----------|------------------------|
| 101      | 710      | 201      | 355      | Utility filing fee     |
| 106      | 320      | 206      | 160      | Design filing fee      |
| 107      | 490      | 207      | 245      | Plant filing fee       |
| 108      | 710      | 208      | 355      | Reissue filing fee     |
| 114      | 150      | 214      | 75       | Provisional filing fee |

**Fee Paid**

710.00

|                     |                    |
|---------------------|--------------------|
| <b>SUBTOTAL (1)</b> | <b>(\$)</b> 710.00 |
|---------------------|--------------------|

## 2. EXTRA CLAIM FEES

|                    |    | Extra Claims |     | Fee from below | Fee Paid |
|--------------------|----|--------------|-----|----------------|----------|
| Total Claims       | 25 | -20** =      | 5   | 18.00          | 90.00    |
| Independent Claims | 3  | -3** =       | -0- | 80.00          | 00.00    |
| Multiple Dependent |    |              |     | 270.00         | 00.00    |

|                                    | Large Entity   | Small Entity   |
|------------------------------------|--|--|
| 1. <b>Revenue Recognition</b>      | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.                               | Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.                               |
| 2. <b>Leases</b>                   | Leases are classified as either finance leases or operating leases. Finance leases are treated as sales, while operating leases are treated as rentals.                          | Leases are classified as either finance leases or operating leases. Finance leases are treated as sales, while operating leases are treated as rentals.                          |
| 3. <b>Intangible Assets</b>        | Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.   | Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.   |
| 4. <b>Goodwill</b>                 | Goodwill is recognized when an entity acquires another entity and the purchase price is greater than the fair value of the identifiable intangible assets.                       | Goodwill is recognized when an entity acquires another entity and the purchase price is greater than the fair value of the identifiable intangible assets.                       |
| 5. <b>Research and Development</b> | Research and development costs are expensed as incurred.   | Research and development costs are expensed as incurred.   |
| 6. <b>Income Taxes</b>             | Income taxes are calculated based on the entity's taxable income, which is determined by the applicable tax laws.  | Income taxes are calculated based on the entity's taxable income, which is determined by the applicable tax laws.  |
| 7. <b>Financial Instruments</b>    | Financial instruments are classified as either debt or equity. Debt is measured at amortized cost, while equity is measured at fair value.                                       | Financial instruments are classified as either debt or equity. Debt is measured at amortized cost, while equity is measured at fair value.                                       |
| 8. <b>Derivatives</b>              | Derivatives are classified as either cash flow hedges or fair value hedges. Cash flow hedges are measured at fair value, while fair value hedges are measured at amortized cost. | Derivatives are classified as either cash flow hedges or fair value hedges. Cash flow hedges are measured at fair value, while fair value hedges are measured at amortized cost. |
| 9. <b>Employee Compensation</b>    | Employee compensation is measured at the fair value of the services rendered by the employee.  | Employee compensation is measured at the fair value of the services rendered by the employee.  |
| 10. <b>Other</b>                   | Other accounting standards and principles that apply to the entity's financial statements.   | Other accounting standards and principles that apply to the entity's financial statements.   |

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                     |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                          |
| 104      | 270      | 204      | 135      | Multiple dependent claim, if not paid                      |
| 109      | 80       | 209      | 40       | ** Reissue independent claims over original patent         |
| 110      | 18       | 210      | 9        | ** Reissue claims in excess of 20 and over original patent |

|                     |                   |
|---------------------|-------------------|
| <b>SUBTOTAL (2)</b> | <b>(\$)</b> 90.00 |
|---------------------|-------------------|

**FEE CALCULATION** (continued)

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - late filing fee or oath  |          |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 390      | 216          | 195      | Extension for reply within second month                                    |          |
| 117          | 890      | 217          | 445      | Extension for reply within third month                                     |          |
| 118          | 1,390    | 218          | 695      | Extension for reply within fourth month                                    |          |
| 128          | 1,890    | 228          | 945      | Extension for reply within fifth month                                     |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   |          |
| 141          | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142          | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |          |
| 143          | 440      | 243          | 220      | Design issue fee   |          |
| 144          | 600      | 244          | 300      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179          | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3)** (\$ 40.00)

## SUBMITTED BY

|                   |                    |
|-------------------|--------------------|
| Name (Print/Type) | Jonathan M. Harris |
|-------------------|--------------------|

|                                      |        |
|--------------------------------------|--------|
| Registration No.<br>(Attorney/Agent) | 44,144 |
|--------------------------------------|--------|

## Complete (if applicable)

|           |                |
|-----------|----------------|
| Telephone | (713) 238-8000 |
|-----------|----------------|

**Signature**

|             |                   |
|-------------|-------------------|
| <i>Date</i> | December 29, 2000 |
|-------------|-------------------|

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